JNeuroscience

Research Articles: Cellular/Molecular

Mechanisms of NMDA receptor- and voltage-gated L-type calcium channeldependent hippocampal LTP critically rely on proteolysis that is mediated by distinct metalloproteinases

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DOI: 10.1523/JNEUROSCI.2170-16.2016

Received: 7 July 2016

Revised: 21 October 2016

Accepted: 12 November 2016

Published: 9 January 2017

Author Contributions: J.W.M. and G.W. conceived the project, designed experiments, analyzed and interpreted data. J.W.M. secured funding. G.W. carried out all electrophysiological and immunofluorescence experiments. D.N. performed immunoblotting. P.D. provided technical support in immunoblotting. I.V.H. and L.M. provided MMP-3 knockout mice and discussed some experimental protocols. J.W.M., G.W. wrote the paper. D.N., I.V.H. and L.M. revised and edited the manuscript.

Conflict of Interest: The authors declare that they have no conflict of interest.

We thank Leszek Kaczmarek from Nencki Institute in Warsaw for providing us MMP-9 KO mice. This work was supported by Polish National Science Centre grants: 2013/08/T/NZ3/00999 (scholarship for G.W.) and 2014/15/ B/NZ4/01689 (J.W.M.). This work was partially supported by the KU Leuven Research Council (KU Leuven, Belgium, BOF-OT/14/064, to L.M.), the Research Foundation Flanders (Belgium, FWO G.0054.12, G0B2315N, to L.M.) and the Flemish government agency for Innovation by Science and Technology (Belgium, post-doc fellowship I.V.H.). G.W. was additionally supported by Stipend for Young Researchers START from Foundation for Polish Science.

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Cite as: J. Neurosci 2017; 10.1523/JNEUROSCI.2170-16.2016

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1	Mechanisms of NMDA receptor- and voltage-gated L-type calcium channel-dependent
2	hippocampal LTP critically rely on proteolysis that is mediated by distinct
3	metalloproteinases
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6	Abbreviated title: MMP-3 regulates L-type-dependent LTP
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11	Number of pages: 38; number of figures: 10
12	Number of words for Abstract: 236, Introduction: 629, Discussion: 1930
13	Author Contributions
14	J.W.M. and G.W. conceived the project, designed experiments, analyzed and interpreted data.
15	J.W.M. secured funding. G.W. carried out all electrophysiological and immunofluorescence
16	experiments. D.N. performed immunoblotting. P.D. provided technical support in
17	immunoblotting. I.V.H. and L.M. provided MMP-3 knockout mice and discussed some
18	experimental protocols. J.W.M., G.W. wrote the paper. D.N., I.V.H. and L.M. revised and
19	edited the manuscript.
20	Acknowledgments
21	We thank Leszek Kaczmarek from Nencki Institute in Warsaw for providing us MMP-9 KO
22	mice. This work was supported by Polish National Science Centre grants:
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24	work was partially supported by the KU Leuven Research Council (KU Leuven, Belgium,
25	BOF-OT/14/064, to L.M.), the Research Foundation Flanders (Belgium, FWO G.0054.12,
26	G0B2315N, to L.M.) and the Flemish government agency for Innovation by Science and
27	Technology (Belgium, post-doc fellowship I.V.H.). G.W. was additionally supported by
28	Stipend for Young Researchers START from Foundation for Polish Science.
29	Conflict of interest
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32 Abstract

33 Long-term potentiation (LTP) is widely perceived as a memory substrate and in the hippocampal CA3-CA1 pathway, distinct forms of LTP depend on N-methyl-D-aspartate 34 35 (NMDA) receptors (nmdaLTP) or L-type voltage-gated calcium channels (vdccLTP). Long-36 term potentiation is also known to be effectively regulated by extracellular proteolysis that is 37 mediated by various enzymes. Herein, we investigated whether in mice hippocampal slices 38 these distinct forms of LTP are specifically regulated by different metalloproteinases 39 (MMPs). We found that MMP-3 inhibition or knockout impaired late-phase LTP in the CA3-40 CA1 pathway. Interestingly, late-phase LTP was also decreased by MMP-9 blockade. When 41 both MMP-3 and MMP-9 were inhibited, both early- and late-phase LTP was impaired. 42 Using immunoblotting, in situ zymography, and immunofluorescence, we found that LTP 43 induction was associated with an increase in MMP-3 expression and activity in CA1 stratum 44 radiatum. MMP-3 inhibition and knockout prevented the induction of vdccLTP, with no 45 effect on nmdaLTP. L-type channel-dependent LTP is known to be impaired by hyaluronic 46 acid digestion. We found that slice treatment with hyaluronidase occluded the effect of 47 MMP-3 blockade on LTP, further confirming a critical role for MMP-3 in this form of LTP. 48 In contrast to the CA3-CA1 pathway, LTP in the mossy fiber-CA3 projection did not depend 49 on MMP-3, indicating the pathway specificity of the actions of MMPs. Overall, our study 50 indicates that the activation of perisynaptic MMP-3 supports L-type channel-dependent LTP 51 in the CA1 region, whereas nmdaLTP depends solely on MMP-9.

53 Significance Statement

54 Various types of long-term potentiation (LTP) are correlated with distinct phases of memory 55 formation and retrieval, but the underlying molecular signaling pathways remain poorly 56 understood. Extracellular proteases have emerged as key players in neuroplasticity 57 phenomena. The present study found that L-type calcium channel-dependent LTP in the 58 CA3-CA1 hippocampal projection is critically regulated by the activity of matrix 59 metalloprotease 3 (MMP-3), in contrast to NMDAR-dependent LTP regulated by MMP-9. 60 Moreover, the induction of LTP was associated with an increase in MMP-3 expression and 61 activity. Finally, we found that the digestion of hyaluronan, a principal extracellular matrix 62 component, disrupted the MMP-3-dependent component of LTP. These results indicate that 63 distinct MMPs might act as molecular switches for specific types of LTP.

64 Introduction

65 Long-term potentiation (LTP) at glutamatergic synapses is widely perceived as a 66 memory substrate, but the underlying molecular mechanisms are not fully understood as they 67 involve myriad of participating elements and processes. In the hippocampal CA3-CA1 pathway, specific patterns of stimulation differentially activate N-methyl-D-aspartate 68 69 receptors (NMDARs) and L-type voltage-dependent calcium channels (VDCCs), resulting in 70 distinct forms of LTP: nmdaLTP and vdccLTP, respectively (Grover and Teyler, 1990; 71 Blundon and Zakharenko, 2008). Coincidence detecting NMDARs are known for their 72 involvement in memory formation processes, especially episodic-like memory in the 73 hippocampus (for review, see Morris (2013). More recently, also L-type calcium channels 74 have been implicated in the maintenance of long-term spatial memory upon its reactivation 75 (Da Silva et al., 2013). Thus, these channels play fundamental but clearly distinct roles in 76 synaptic plasticity and memory consolidation/reconsolidation processes, and for this reason 77 further elucidation of the molecular signaling pathways that involve these channels is 78 crucially important. Notably, vdccLTP critically depends on the extracellular matrix (ECM), 79 as the digestion of hyaluronic acid, a major ECM component, specifically abolishes vdccLTP in the hippocampus (Kochlamazashvili et al., 2010). This work indicated that specific 80 81 mechanisms of plasticity can be effectively controlled by the proteolysis of specific ECM 82 components. The enzymatic manipulation of ECM molecules affects distinct types of synaptic plasticity and learning (Senkov et al., 2014), but understanding the roles of specific 83 84 ECM domains in synaptic functions and signaling events downstream of ECM modifications 85 remains a major challenge in the field. It seems thus interesting to explore the ways in which signaling pathways that are related to nmdaLTP and vdccLTP depend on distinct, 86 87 endogenous proteolytic activity. It is of note in this context that in several key experiments 88 addressing the effects of hyaluronic acid and proteoglycan digestion on learning and memory

(Pizzorusso et al., 2002; Gogolla et al., 2009; Kochlamazashvili et al., 2010) exogenous
enzymes were used, which are not present in the mammalian brain. Therefore, studies on
endogenous ECM-modifying enzymes are required to shed new light on changes in ECM
structure and functions during synaptic plasticity.

93 In the CA1 region of the hippocampus, LTP consists of early-phase (early-LTP) that 94 requires the activity of kinases and late-phase LTP (late-LTP) that is known to depend on 95 protein synthesis and proteolysis (Nagy et al., 2006). Several substrates of proteolytic 96 enzymes, such as membrane adhesion proteins and ECM molecules, have emerged as real or 97 putative players in shaping plastic changes at the synaptic level and beyond (Tsien, 2013). 98 Activity of tissue plasminogen activator (tPA), neuropsin, and matrix metalloproteinases 99 (MMPs), has been implicated in synaptic plasticity and learning (Sonderegger and 100 Matsumoto-Miyai, 2014). At least 24 human MMP genes have been broadly divided into 101 classes, including gelatinases (MMP-2, MMP-9) and stromelysins (MMP-3, MMP-10), 102 among others. To date, the role of gelatinases has been the most extensively studied. MMP-9 103 has been implicated in brain development/neurogenesis (Verslegers et al., 2013), the 104 modification of dendritic spine morphology (Sidhu et al., 2014), synaptic plasticity (Nagy et 105 al., 2006; Wiera et al., 2013), and memory formation (Peixoto et al., 2012; Smith et al., 106 2014). Although less intensively studied, MMP-3 has also been suggested to play a role in 107 synaptic plasticity and learning (Olson et al., 2008; Conant et al., 2010), but the underlying mechanisms remain more obscure than for MMP-9. Additionally, MMP-3, as opposed to 108 109 MMP-9, may potentially cleave all brain chondroitin sulfate proteoglycans (Van Hove et al., 110 2012a), which are known to affect synaptic plasticity and memory (Senkov et al. 2014). We examined the differential roles of MMP-3 and MMP-9 in supporting early- and late-LTP that 111 112 was induced by paradigms that depend on NMDARs and VDCCs. We found that the 113 consolidation of vdccLTP in the hippocampal CA3-CA1 projection critically depended on

114 MMP-3, and this process was accompanied by an increase in the expression and activity of

this enzyme.

116

117 Materials and Methods

118 Animals

All of the animal procedures were approved by the Local Ethics Commission and all efforts were made to minimize the number of animals used for the experiments. The mice were maintained under a standard 12 h/12 h light/dark cycle and socially housed. Brain slices were prepared from male mice. Both male and female wildtype, MMP-3 knockout (KO), and MMP-9 KO mice (6-8 weeks old, all on a C57Bl/6J background) were used. In the analyses that included both male and female animals, sex differences were also tested, but no differences were found, and the data were pooled.

126

127 Preparation of hippocampal slices

128 Acute mouse hippocampal transverse slices (350 µm) were prepared from C57BL/6 129 mice (postnatal day 60-100) as described previously (Wiera et al., 2013). In some of the experiments, homozygous MMP-3 or MMP-9 knockout mice were used. MMP-3 KO mice 130 131 are viable, reach adulthood, and present detectable morphological abnormalities in the cortex 132 and cerebellum (Van Hove et al., 2012b; Aerts et al., 2015). The mice were anesthetized with 133 isoflurane. Following brain dissection, slices were cut with a vibratome (VT1200S, Leica) 134 and placed in ice-cold cutting solution that contained 75 mM sucrose, 87 mM NaCl, 2.5 mM KCl, 1.25 mM NaH₂PO₄, 25 mM NaHCO₃, 0.5 mM CaCl₂, 3.5 mM MgCl₂, 3.5 mM MgSO₄, 135 and 20 mM glucose, pH 7.4. The slices were then incubated in the same solution at 32°C for 136 137 20 min. After sectioning, the slices were maintained at room temperature in artificial 138 cerebrospinal fluid (aCSF) that contained 125 mM NaCl, 25 mM NaHCO₃, 2.7 mM KCl,

1.25 mM NaH₂PO₄, 2.5 mM CaCl₂, 1.3 mM MgSO₄, and 20 mM glucose, pH 7.4. Both the
cutting solution and aCSF were saturated with carbogen (95% O₂, 5% CO₂). In one set of
experiments, the slices were incubated at 37°C for 2 h with hyaluronidase from *Streptomyces hyalurolyticus* (H1136, Sigma) in carbogenated aCSF similarly to Kochlamazashvili et al.
(2010).

144

145 Field potential recordings in CA3-CA1 and mossy fiber-CA3 pathways

146 Field excitatory postsynaptic potentials (fEPSPs) were recorded with an electrode that 147 was inserted in a glass micropipette (2-3 M Ω , filled with aCSF) in the CA1 in response to stimulation of Schaffer collateral inputs with bipolar tungsten electrodes (FHC). Synaptic 148 149 transmission in the mossy fiber-CA3 pathway was evoked by stimulating mossy fibers at the 150 border between the suprapyramidal blade of the dentate gyrus and hilus and recorded in the 151 CA3 stratum lucidum. The temperature of the recording chamber was 30-31°C. Recordings 152 were amplified and filtered at 3.0 kHz (DAM80, WPI), sampled at 20 kHz using an A/D 153 converter (Digidata 1400, Molecular Devices), and analyzed with Clampfit 10.5. Basal 154 synaptic transmission was initially determined from input-output relationships that were 155 elicited by stimulation with increasing current intensities. Test stimuli (300 µs) were given at 156 a current (20-90 µA) that produced 40% of the maximum amplitude of the fEPSP without 157 population spikes. The paired-pulse ratio (PPR) was investigated by delivering two stimuli 158 with interstimulus intervals of 25, 50, 100, and 250 ms. Basal responses were monitored for 159 at least 20 min before delivering the LTP-inducing stimulation. To generate LTP, we used 160 high-frequency stimulation (HFS) or theta-burst stimulation (TBS). Theta-burst stimulation consisted of four theta epochs with eight trains of four 100 Hz pulses that were delivered at 4 161 162 Hz. High-frequency stimulation consisted of four trains of 100 pulses that were applied at 163 100 or 200 Hz, with an intertrain interval of 10 s. The magnitude of LTP was calculated by

164 dividing the average fEPSP slope after HFS by the average fEPSP slope of responses that were evoked during the 15 min before delivering HFS or TBS. In all of the experiments, the 165 166 fiber volley amplitude was measured relative to pre-LTP stimulation. Experiments were 167 discarded if the fiber volley amplitude changed more than 20% during the entire experiment. 168 Recordings in the mossy fiber-CA3 pathway were performed in the presence of D-(-)-169 2-amino-5-phosphonovaleric acid (D-APV; 25 µM) to eliminate contamination of mossy 170 fiber-CA3 LTP with an NMDAR-dependent component (e.g., from AC/AC synapses). The following a priori criteria were applied to classify recorded fEPSPs as mossy fiber-CA3: (a) 171 172 the PPR at the 50 ms interval was \geq 1.5, (b) the latency of the fEPSP amplitude was < 5 ms, and (c) application of the metabotropic glutamate receptor group II agonist DCG-IV (1 μ M) 173 174 at the end of the experiment reduced the fEPSP amplitude by $\geq 80\%$.

175

176 Brain tissue processing and immunostaining

177 Two groups of slices were fixed and used for immunostaining: control slices that were 178 stimulated for 2 h without LTP-inducing tetanus and slices that were tetanically stimulated by 179 100 Hz and maintained for 2 h upon basal stimulation. After the electrophysiological 180 recordings, the slices were fixed in methanol:ethanol solution (1:3) at 4°C for 20 min and 181 subsequently maintained at -20°C. The slices were then embedded in polyester wax (Science 182 Services) as described in Gawlak et al. (2009), cut into 4 µm thick sections on a rotary 183 microtome (RM 2255, Leica), and mounted on Superfrost Plus glass slides (Thermo 184 Scientific).

Before *in situ* zymography (ISZ) or immunolabeling, the sections were dewaxed with ethanol and rehydrated. After blocking for 1 h with 10% normal horse serum (NHS; Vector Laboratories) in TBST (TBS with 0.1% Tween-20), the slices were incubated overnight at 4°C with primary antibodies in TBST with 2% NHS (anti-MMP-3, 1:500, catalog no. EP1186Y, Abcam; anti-MAP-2, 1:500, catalog no. M4403, Sigma; anti-glial fibrillary acidic protein [GFAP], 1:500, catalog no. G3893, Sigma; anti-synapsin-1, 1:150, catalog no. 106 103, Synaptic Systems). Anti-synapsin-1 antibody recognizes both inhibitory and excitatory synapses. After washing with TBST, the slices were incubated for 2 h at room temperature with secondary antibodies (AlexaFluor 633 goat anti-mouse and AlexaFluor 568 goat antirabbit, 1:2000, Invitrogen). Finally, glass slides were mounted with Fluoroshield (Sigma).

195

196 Casein in situ zymography

197 The ISZ procedure was performed as in Gawlak et al. (2009) with modifications. 198 Instead of DQ-gelatin that is cleaved by MMP-2/-9, we used fluorogenic substrate BODIPY-199 casein (E6638, Invitrogen) as a substrate for MMP-3. Sections that were dewaxed in 99.8% 200 ethanol and rehydrated were covered with a BODIPY-casein (with phenylmethylsulfonyl fluoride, PMSF; 0.2 mM, Sigma) at 37°C for 90 min. The slices were then washed with TBS 201 202 and mounted with Fluoroshield or additionally processed for immunostaining. In a set of 203 control experiments, different protease inhibitors were used during the entire slice processing 204 (hydration, reaction with BODIPY-casein, and washing) to check the specificity of the 205 caseinolytic signal. MMP inhibitors were used at the following concentrations: pan MMP 206 inhibitor phenanthroline (10 mM, Sigma) and NNGH (20 µM).

207

208 Image acquisition and analysis

209 Confocal microscopy images were captured using an Olympus Fluoview1000S 210 microscope (PlanApo 60×1.35 NA oil immersion objective). All confocal parameters 211 (pinhole, offset, brightness) were held constant for all of the datasets from the same 212 experiment. Three to five $60 \times$ images of the CA1 stratum radiatum were acquired from 213 sections of one thick (350 µm) slice that was used in the electrophysiological experiment. The images were analyzed using ImageJ software (National Institutes of Health), and the puncta number, size, and intensity were determined. Before each experiment, in a separate set of slices, background ISZ and immunostaining signals were measured and used as a constant threshold that was applied to all images during analysis.

218

219 Whole CA1 lysates and immunoblotting

220 After the electrophysiological experiments, the CA3-CA1 regions were isolated 221 together from hippocampal slices and then frozen and stored. Control slices were basally 222 stimulated. Slices in the LTP groups were collected 15 min or 1 h after 100 Hz tetanus. The experiments were performed on lysates that were dissociated in RIPA buffer. Membranes 223 224 were probed with rabbit anti-MMP-3 (1:750; Abcam) and mouse anti- β -actin (1:1000; Abcam) antibodies diluted in TBS with 0.1% Tween-20 and 5% bovine serum albumin. 225 226 Secondary anti-mouse and anti-rabbit antibodies (1:2000, Jackson ImmunoResearch) 227 conjugated with horseradish peroxidase were used. Immunoreactive chemiluminescence 228 signals were visualized using Luminatae Forte Western HRP Substrate (Merck-Millipore) 229 with ChemiDoc MP (Bio-Rad). The levels of immunoreactivity were determined by densitometry (ImageJ). The values were normalized to β -actin and are presented as a percent 230 231 change relative to control.

232

233 Drugs

D-APV, DCG-IV, nifedipine, UK356618, and WAY170523 were purchased from Tocris Bioscience. FN-439, NNGH, SB-3CT, and recombinant active MMP-3 protein (SRP7783) were purchased from Sigma-Aldrich. FN-439 was dissolved in water as a stock solution. All of the other MMP inhibitors were dissolved in dimethylsulfoxide (DMSO). We used the following MMP inhibitors with different specificities against MMP-9 and MMP-3:

239	(1) FN-439, a broad-spectrum MMP inhibitor; K_i for MMP-1 and MMP-8 = 1 μ M; K_i for
240	MMP-9 = 30 μ M; K _i for MMP-3 = 150 μ M (Odake et al., 1994; Franzke et al., 2002), (2)
241	NNGH, a broad-spectrum MMP inhibitor; K_i for MMP-12 and MMP-13 = 4 nM; K_i for
242	MMP-8 = 9 nM; K_i for MMP-1 = 170 nM; K_i for MMP-3 = 130 nM (MacPherson et al.,
243	1997; Calderone et al., 2006), (3) UK356618, MMP-3/MMP-13 inhibitor; K_i for MMP-3 =
244	5.9 nM; K _i for MMP-13 = 73 nM; K _i for MMP-9 = 840 nM (Fray et al., 2003), (4) WAY-
245	170523, a specific MMP-13 blocker ($K_i = 17 \text{ nM}$) that also inhibits MMP-9 ($K_i = 945 \text{ nM}$)
246	(Chen et al., 2000), and (5) SB-3CT, a specific inhibitor of gelatinases MMP-9/MMP-2; $K_{\rm i}$
247	for MMP-2 = 14 nM; K_i for MMP-9 = 600 nM (Brown et al., 2000).
248	

249 Statistical analysis

250 The analyses were performed using SigmaPlot, and $\alpha = 0.05$ was chosen for statistical 251 significance. The specific tests that were used are noted in the figure legends. All of the data 252 are presented as mean ± SEM. Significance in single comparisons was calculated using 253 Student's t-test (data with a normal distribution) or Mann-Whitney U test (data without a 254 normal distribution). Multiple comparisons were calculated using two-way analysis of 255 variance (ANOVA) followed by Bonferroni correction. For all of the comparisons, n refers to 256 the number of slices. Significance is indicated as (*) when P < 0.05, (**) when P < 0.01 and 257 (***) when $P \leq 0.001$, (n.s. non-significant).

258

259 Results

260 Maintenance phase of vdccLTP and nmdaLTP depends on different MMPs

Depending on the route of calcium entry upon stimulation, vdccLTP or nmdaLTP can be evoked. Low-frequency tetanus (25 Hz) is known to result in solely nmdaLTP, whereas higher-frequency stimulation (100-200 Hz) elicits compound LTP that consists of both

264	VDCC- and NMDAR-dependent components (Grover and Teyler, 1990). The induction of
265	LTP with a 200 Hz train allows precise dissection of the two LTP components (Grover and
266	Teyler, 1990). In the presence of nifedipine (a blocker of L-type channels; 100 μ M), 200 Hz
267	stimulation induced LTP that entirely depended on NMDAR activity (nmdaLTP; Fig. 1A). In
268	the same stimulation paradigm, treatment with the NMDAR blocker D-APV (50 $\mu M)$ induced
269	vdccLTP (Fig. 1A). We tested the dependence of nmdaLTP and vdccLTP on extracellular
270	proteolysis using various MMP inhibitors. SB-3CT blocks MMP-9, a protease whose role in
271	in synaptic plasticity is particularly well established. We observed a significant reduction of
272	the magnitude of vdccLTP and nmdaLTP after the application of SB-3CT (Fig. 1 B , C). We
273	then applied NNGH, a broad-spectrum MMP inhibitor, at a concentration (10 $\mu\text{M})$ that does
274	not block MMP-9 (K _i for MMP-12 and MMP-13 = 4 nM; K _i for MMP-8 = 9 nM; K _i for
275	MMP-1 = 170 nM; K_i for MMP-3 = 130 nM). Remarkably, in the presence of NNGH, a 200
276	Hz train (in nifedipine) induced nmdaLTP that was similar to control slices (Fig. 1D), but
277	vdccLTP was completely abolished (Fig. $1E$, F). These data indicate that the maintenance
278	phase of vdccLTP depends on specific MMPs that are different from those that are necessary
279	for nmdaLTP. To further support this observation, we applied another protocol to induce
280	vdccLTP (i.e., long TBS in D-APV, with eight trains of four 100 Hz pulses separated by 200
281	ms and repeated four times; (Morgan and Teyler, 2001). Notably, vdccLTP that was elicited
282	by this protocol was characterized by a slow onset and completely blocked by NNGH (Fig.
283	1G, H), similar to what was observed in the case of HFS (Fig. $1E$). These observations
284	provide evidence that the two types of LTP may depend on the activity of distinct MMPs, as
285	revealed by dramatically different sensitivity to NNGH (Fig. $1E$, F). Because of the broad-
286	spectrum profile of NNGH inhibition, subsequent experiments were designed to identify
287	which MMPs, in addition to MMP-9, are involved in the maintenance of compound LTP.
288	

To further characterize the dependence of LTP maintenance on MMP in the CA3-CA1 projection, we recorded compound LTP in response to the most commonly used 100 Hz HFS of Schaffer collaterals. Fig. 1 shows that other MMPs beyond MMP-9 (indicated by the use of the specific blocker SB-3CT) must be involved in the maintenance of LTP. To identify these other MMPs, we used various inhibitors and transgenic animals with knockout of specific MMPs.

296 We first characterized LTP that was induced in the CA3-CA1 pathway in 297 hippocampal slices from MMP-9 KO mice. No difference was observed between KO and wildtype (WT) slices with regard to the input-output relationship or short-term plasticity (data 298 299 not shown), indicating that the loss of MMP-9 did not affect basal synaptic transmission 300 (Nagy et al., 2006). However, the time course of HFS-induced LTP that was recorded in 301 slices from MMP-9 KO mice showed significant fading that began approximately 80 min 302 after HFS (Fig. 2A). Likewise, the application of the specific MMP-9 blocker SB-3CT (10 303 μ M) also affected only late-LTP (Fig. 2B). These findings further confirm that the late LTP 304 phase depends on MMP-9 (Nagy et al., 2006).

305 NNGH had a high degree of specificity in blocking vdccLTP (Fig. 1D-F). To 306 facilitate the deduction of which MMPs were involved, we used FN439, another broad-307 spectrum inhibitor with an inhibitory profile that is different from NNGH (K_i for MMP-1 and 308 MMP-8 = 1 μ M; K_i for MMP-9 = 30 μ M; K_i for MMP-3 = 150 μ M). The administration of 309 FN439 at a concentration of 180 µM resulted in strong downregulation of LTP. In contrast to 310 SB3CT, the effect was observed shortly after HFS (Fig. 2C). At 180 µM, FN439 is expected to block both MMP-9 and MMP-3. Therefore, we performed additional recordings at 25 μ M 311 to block mainly MMP-9, thus leaving MMP-3 only weakly affected while still saturating the 312

inhibition of MMP-1 and MMP-8. Interestingly, 25 μM FN439 had no effect on early-LTP
but suppressed the late phase approximately 1 h after induction (Fig. 2D).

315 The abrupt reduction of the extent of LTP in the presence of 180 μ M FN439 (Fig. 2C) 316 indicated that another protease, besides MMP-9, that is blocked by a high concentration of 317 FN439 is involved in supporting early-LTP. MMP-3 appeared to be a good candidate because 318 180 µM FN439 was expected to block it (MacPherson et al., 1997). Most small-molecule 319 inhibitors have limited selectivity for individual MMPs. We thus used two compounds that 320 block MMP-3 activity with distinct specificities. Bath application of NNGH, a broad-321 spectrum MMP inhibitor at 10 µM, strongly reduced the amplitude of LTP beginning 322 approximately 30 min after HFS (Fig. 2E). Next, we used a more specific MMP-3 blocker, UK356618 (K_i for MMP-3 = 5.9 nM; K_i for MMP-13 = 73 nM; K_i for MMP-9 = 840 nM), 323 324 which impaired only late-LTP in the CA3-CA1 projection at 750 nM (Fig. 2F). Similar results were obtained when we applied 2 µM UK356618, although a slight trend toward 325 326 earlier interference with the extent of LTP compared with 750 nM was apparent (Fig. 2G). 327 Importantly, the application of a specific blocker of MMP-13, WAY-170523 (K_i for MMP-13 = 17 nM; K_i for MMP-9 = 945 nM), at 750 nM had no effect on LTP (Fig. 2H). Thus, the 328 329 pharmacological blockade of either MMP-9 or MMP-3 resulted in qualitatively similar 330 effects, namely impairment of late-LTP (Fig. 2J). Remaining unclear, however, was which 331 protease supports the early-LTP that was downregulated by 180 μ M FN439 (Fig. 2C, K). To 332 test the possibility that early-LTP requires the blockade of both MMP-9 and MMP-3, we 333 elicited LTP in the presence of the MMP-3 blocker NNGH (10 μ M) and the MMP-9 blocker 334 SB-3CT (10 µM) and indeed we observed that concomitant inhibition of these MMPs 335 impaired both early- and late-LTP (Fig. 21-K). Altogether, late-LTP was affected by 336 inhibiting either MMP-9 or MMP-3 activity). To impair early-LTP, however, the blockade of 337 both MMPs was required (Fig. 21, K).

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338

339 MMP-3 KO reduces late-LTP in the CA3-CA1 pathway

340 Considering the pharmacological evidence that indicated the involvement of MMP-3 341 in vdccLTP, we extended our investigations to MMP-3 KO mice (Van Hove et al., 2012b; Aerts et al., 2015). Since the impact of MMP-3 deficiency on neuronal excitability is 342 343 unknown, we first measured the fiber volley amplitude, representing the number of Schaffer collaterals that fire an action potential, and observed no significant difference between MMP-344 3 KO and WT slices (Fig. 3A). The interrelation between the fEPSP amplitude and fiber 345 346 volley amplitude did not differ between MMP-3 KO and WT slices (Fig. 3B). Thus, the loss 347 of MMP-3 did not affect either excitability or basal synaptic transmission. Next, a pairedpulse facilitation protocol was used to examine short-term plasticity. Again, no difference 348 349 was observed between MMP-3 KO and WT slices for a broad range of interstimulus intervals 350 (Fig. 3C). Notably, the early phase of LTP that was evoked in slices from MMP-3 KO and 351 WT mice overlapped, but late-LTP showed progressive fading in KO group (Fig. 3D).

352

353 Concurrent MMP-3 knockout and MMP-9 blockade impair early-LTP

354 Our pharmacological data showed that early-LTP can be impaired by 180 µM FN439 355 and the concomitant actions of MMP-9 and MMP-3 blockers (NNGH and SB-3CT) but not 356 by the blockade any of these MMPs separately (Fig. 2K). The genetic removal of MMP-3 357 affected the time course of LTP similarly to the pharmacological suppression of MMP-3 358 activity (Fig. 2F, 3D). To further explore the specific contributions of MMP-9 and MMP-3, 359 we measured LTP in slices from MMP-3 KO mice in the presence of a specific gelatinase blocker, SB-3CT (10 µM). SB-3CT affected only late-LTP in the WT group (Fig. 2B), 360 whereas it impaired both early- and late-LTP in MMP-3 KO slices (Fig. 3E-G). These results 361

are consistent with our observations after combined application of both NNGH and SB-3CT
in WT slices (Fig. 2*I*) and further confirm that both proteases regulate early-LTP.

364

365 Administration of an active form of MMP-3 restores the impairment of plasticity in MMP-3
366 KO slices

Next, we investigated whether restoring MMP-3 activity in MMP-3 KO slices rescues the impairment of LTP. We bath applied exogenous, recombinant active MMP-3 protein (500 ng/ml) 5 min before 100 Hz tetanus and washed it out 15 min after stimulation in MMP-3 KO slices and observed significant rescue of late-LTP (Fig. 3*H*). The extent and time course of the rescue of LTP following MMP-3 washout stabilized at a level that was comparable to control recordings (Fig. 3*H*, *I*).

373 In mammalian synapses, the paired-pulse ratio (PPR) is commonly used as an index 374 of presynaptic changes (Yang and Calakos, 2013). The induction of LTP in the WT group 375 was accompanied by a significant reduction of the PPR 2 h after HFS (Fig. 3J). Interestingly, 376 in MMP-3 KO slices, the lower magnitude of late-LTP was accompanied by a lack of 377 significant changes in the PPR after LTP (Fig. 3J). However, the lack of changes in short-378 term plasticity after LTP in MMP-3 KO slices was reversible, as an infusion of active MMP-379 3 during tetanus restored the changes in the PPR 2 h after LTP induction (Fig. 3J). Thus, 380 MMP-3 deficiency markedly but reversibly weakened late-LTP and affected short-term 381 plasticity that accompanied LTP induction.

382

383 MMP-3 KO abolishes vdccLTP but not nmdaLTP in the CA3-CA1 pathway

After establishing that MMP-3 deficiency impairs late-LTP, we next investigated which components of 200 Hz-induced compound LTP were altered in MMP-3 KO slices. Analogously to NNGH treatment, no difference was observed in nmdaLTP between WT and 387 MMP-3 KO slices (Fig. 4A), but vdccLTP was completely abolished in MMP-3 KO slices (Fig. 4B), similar to previous observations in WT slices (Fig. 1F) that were treated with 388 389 NNGH at a concentration that blocked MMP-3. Additionally, the application of active MMP-390 3 protein (500 ng/ml) during 200 Hz stimulation partially restored vdccLTP in MMP-3 KO 391 slices (Fig. 4C). Thus, MMP-3 deficiency reversibly impaired 100 Hz-induced late-LTP, 392 causing the complete blockade of vdccLTP but having no effect on nmdaLTP (Fig. 4D). 393 These findings provide evidence that MMP-3 is critically involved in regulating the VDCC-394 dependent component of compound LTP.

395

396 Suppression of MMP-3 activity does not affect LTP in the mossy fiber-CA3 pathway

397 MMP-9 deficiency impaired both early- and late-LTP in the mossy fiber-CA3 hippocampal pathway (Wiera et al., 2013), which is known for its predominant expression of 398 presynaptic LTP (Castillo et al., 1997; Wiera and Mozrzymas, 2015). Considering that the 399 400 lack of MMP-3 protease suppressed LTP-induced alterations in the PPR, suggesting 401 presynaptic interference, we investigated the impact of MMP-3 inhibition on LTP in the 402 mossy fiber-CA3 pathway, which precedes the CA3-CA1 projection in trisynaptic 403 hippocampal connections. Interestingly, administration of the MMP-3 inhibitors NNGH or 404 UK356618 had no effect on LTP in this projection up to 2 h after induction (Fig. 5A, B). We 405 also analyzed basal synaptic transmission and plasticity in the mossy fiber-CA3 pathway in 406 MMP-3 KO slices. Neither input-output relationships nor short-term plasticity was affected 407 by MMP-3 deficiency (data not shown), and HFS resulted in LTP that was similar in MMP-3 408 KO and WT slices (Fig. 5C). Notably, the MMP-2/MMP-9 inhibitor SB-3CT (10 μ M) 409 blocked LTP in the mossy fiber-CA3 pathway (Fig. 5D), consistent with our previous study 410 on MMP-9 KO slices (Wiera et al., 2013). Overall, our results indicate that LTP at mossy 411 fiber-CA3 synapses strongly depends on the activity of MMP-9 but not MMP-3 (Fig. 5E),

suggesting that the involvement of these MMPs in regulating plasticity-related phenomena inthe hippocampus is pathway-specific.

414

415 MMP-3 activity influences late-LTP during a short time window after induction

416 To further evaluate the role of MMP-3 in CA1 synapses, we tested whether MMP-3 417 activity is required for the consolidation of synaptic plasticity within a specific time window. For this purpose, LTP was induced, and the MMP-3 inhibitor UK356618 (2 µM) was applied 418 at different time points after 100 Hz tetanus. We observed a reduction of the magnitude of 419 420 LTP when UK356618 was applied immediately (Fig. 6A) or 15 min (Fig. 6B) after tetanus but not after 30 min (Fig. 6C), indicating that MMP-3 activity is required for stable LTP 421 422 within a relatively narrow time window relative to the stimulation but not necessarily during 423 HFS (Fig. 6D).

424

425 MMP-3 protein levels and activity are upregulated after LTP induction in the CA1

To gain further insights into the mechanisms that mediate the involvement of MMP-3 in LTP consolidation, we first investigated MMP-3 localization and activity in the hippocampus. MMP-3 protein was reported to be present at low but detectable levels in the adult mouse hippocampus (Meighan et al., 2006; Wright et al., 2006). To identify the cellular localization of MMP-3, we used MAP-2 and GFAP as dendritic and astrocytic markers, respectively. We observed MMP-3-positive puncta in both neuronal dendrites (Fig. 7*A*) and astrocytes (Fig. 7*B*) in the CA1 stratum radiatum and pyramidale.

We then investigated whether some of the observed MMP-3-positive puncta in the stratum radiatum contained the active form of this protease. We modified the *in situ* zymography method (ISZ) to detect MMP-3 activity in tissue sections. MMP-3 digests casein, and we used BODIPY-casein as a substrate. However, casein is cleaved not only by 437 MMP-3 but also by tPA and other serine proteases. Therefore, to generate data that were more specific for MMP-3, all of the assays were performed in the presence of the serine 438 439 protease inhibitor PMSF (0.2 mM). In situ zymography revealed caseinolytic activity in the 440 hippocampal CA1 region (Fig. 8A). To ascribe this activity to MMP-3, we additionally verified the specificity of the caseinolytic signal. The addition of the pan metalloproteinase 441 442 inhibitor O-phenanthroline (10 mM) or broad-spectrum MMP inhibitor NNGH (20 µM) 443 strongly suppressed the fluorescent ISZ signal (Fig. 8B, C, E). In MMP-3 KO slices, the 444 intensity of the ISZ signal in the CA1 stratum radiatum decreased compared with WT slices 445 (Fig. 8D, F). Furthermore, the ISZ signal colocalized with MMP-3 immunoreactivity (Fig. 446 8G). These data provide evidence that the vast majority of the caseinolytic signal that was 447 measured in our experiments was attributable to the activity of MMP-3. Finally, a substantial 448 proportion of ISZ puncta in the CA1 stratum radiatum colocalized with the synaptic marker 449 synapsin (Fig. 8H).

450 Using immunostaining and ISZ, we investigated potential changes in MMP-3 protein 451 levels and activity in our model. Two hours after LTP induction by 100 Hz tetanus, the 452 intensity and area of MMP-3-positive puncta significantly increased in the CA1 stratum 453 radiatum, but their density remained unaltered (Fig. 9A-D). Moreover, in addition to the LTP-454 related enhancement of MMP-3 immunoreactivity, casein ISZ revealed the upregulation of 455 total caseinolytic activity in the CA1 stratum radiatum (Fig. 9E, F). To better correlate the 456 observed ISZ signal to MMP-3 activity, we additionally analyzed the intensity of casein ISZ 457 only in MMP-3-positive puncta and found a significant increase in ISZ fluorescence after 458 LTP (Fig. 9G). Long-term potentiation in the CA1 was accompanied by an increase in the 459 ratio of ISZ fluorescence in MMP-3-positive puncta to ISZ fluorescence in the MMP-3-460 negative area (Fig. 9H).

461 To determine whether LTP was accompanied by alterations in MMP-3 protein 462 expression, we measured the levels of pro-MMP-3 (55 kDa) and active MMP-3 (46 kDa) by 463 immunoblotting in hippocampal homogenates that were prepared from slices in which LTP 464 was induced by 100 Hz HFS and from control slices that received only basal stimulation. The induction of LTP increased the expression of both pro- and active MMP-3 (Fig. 91-K). A 465 466 significant increase in pro-MMP-3 was detected as early as 15 min after HFS, whereas the 467 levels of both pro- and active MMP-3 remained elevated up to 1 h after LTP (Fig. 91-K). These experiments indicate that the induction of compound LTP (with a VDCC-dependent 468 469 component) was accompanied by an increase in MMP-3 expression and activity, which was 470 also observed in synaptic puncta.

471

472 Digestion of hyaluronan occludes the impact of MMP-3 inhibition on LTP in the CA1

473 vdccLTP in the CA1 strongly depends on the hyaluronic acid content of the ECM 474 (Kochlamazashvili et al., 2010). We investigated whether the digestion of hyaluronan affects 475 the sensitivity of LTP to MMP-3 inhibition. Incubation of the slices at 37°C for 2 h with 476 hyaluronidase significantly reduced 100 Hz tetanus-induced LTP compared with sham-477 treated slices (Fig. 10A), with no changes in the input-output relationship or short-term 478 plasticity (Fig. 10B, C). Kochlamazashvili et al. (2010) reported that hyaluronidase treatment 479 suppressed vdccLTP, leaving only the nmdaLTP component. In the present study, we found 480 that nmdaLTP was unaffected by MMP-3 blockers. We expected that after hyaluronidase 481 treatment, the remaining LTP fraction would be resistant to MMP-3 inhibition. Indeed, after 482 hyaluronan digestion, the MMP-3 inhibitor UK356618 failed to suppress LTP (Fig. 10D, E). These results further confirm that MMP-3 activity is strongly involved in regulating the 483 484 component of LTP that depends on L-type calcium channels.

486 Discussion

487 In the present study, we tested the hypothesis that the molecular signaling pathways 488 that are involved in the consolidation of vdccLTP and nmdaLTP critically depend on the 489 activity of distinct extracellular proteases. Compared with nmdaLTP, the molecular 490 mechanisms that underlie the L-type dependent component of LTP maintenance are less clear 491 (Blundon and Zakharenko, 2008). However, the relationship between nmdaLTP and 492 vdccLTP shifts to favor the latter at high tetanus frequencies (≥ 100 Hz) or when more postsynaptic spikes in a single burst are paired with presynaptic stimulation (Grover and 493 494 Teyler, 1990; Morgan and Teyler, 2001; Zakharenko et al., 2001). In the present study, these two LTP components (induced by 200 Hz tetanus) were clearly distinct. vdccLTP had a slow 495 496 onset, whereas nmdaLTP had a time course similar to LTP induced by 100 Hz tetanus but 497 with a lower amplitude. We used several complementary approaches and found that the 498 mechanisms that underlie these two LTP components are related to the activity of distinct 499 MMPs. First, in MMP-3 KO slices, we observed no changes in nmdaLTP and the abolition of 500 vdccLTP. Second, NNGH at a concentration that was expected to inhibit MMP-3 blocked the 501 induction of vdccLTP but had no effect on nmdaLTP. Third, vdccLTP that was induced by 502 extended TBS (Morgan and Teyler, 2001) was also critically sensitive to MMP-3 inhibition. 503 Fourth, vdccLTP has been shown to have a clear presynaptic mechanism (Zakharenko et al., 504 2001), and we found that MMP-3 deficiency suppressed presynaptic plasticity after LTP 505 induction (Fig. 3J). Finally, the digestion of hyaluronic acid, which blocks vdccLTP but not 506 nmdaLTP in the CA1 (Kochlamazashvili et al., 2010), occluded the LTP component that was 507 sensitive to MMP-3 inhibition.

508 Considering the limited specificity of the available MMP blockers, we used several 509 pharmacological compounds that enabled us to extract information regarding the involvement 510 of MMP-3. NNGH (broad-spectrum MMP inhibitor) and UK356618 (MMP-3/MMP-13 511 inhibitor) caused similar effects (i.e., impairment of late-LTP, but see Conant et al. (2010), for NNGH), suggesting that a "common denominator" of their actions was MMP-3 blockade. 512 513 This view was supported by the use of the specific MMP-13 inhibitor WAY170523, which 514 had no effect on LTP. Additionally, the application of UK356618 30 min after HFS did not affect LTP, arguing against possible nonspecific effects of MMP-3 inhibition on basal 515 516 synaptic transmission, which was also unaffected by this inhibitor when applied before HFS. 517 The effect of pharmacological MMP-3 blockade was mimicked by MMP-3 KO, further 518 demonstrating that MMP-3 regulates the late phase of LTP in the present model. Moreover, 519 pairing bath application of exogenous active MMP-3 protein with 100 Hz tetanic stimulation 520 in MMP-3-deficient slices restored the magnitude and stability of LTP and changes in short-521 term plasticity after LTP to the levels that were comparable to those observed in WT slices. 522 Finally, the application of active MMP-3 protein to MMP-3-deficient slices partially but 523 significantly (relative to the control) rescued vdccLTP. Unclear is why this form of plasticity 524 was only partially rescued by exogenous MMP-3. One possibility is that the activity of this 525 enzyme and its localization must be properly tuned. This is, to our knowledge, the first 526 demonstration that specific manipulations of MMP-3 shape late-LTP. It seems worth 527 reiterating that the multiplicity of MMPs and the limited specificity of their blockers pose 528 major limitations in unequivocally interpreting the data. For example, nonspecific MMP 529 inhibitors that, among other metzincins, block MMP-9, may impair both the early and 530 translation-dependent late phases of LTP (Meighan et al., 2007; Conant et al., 2010), EPSP to 531 spike potentiation (Wojtowicz and Mozrzymas, 2014), the structural plasticity of dendritic 532 spines (Szepesi et al., 2014), ocular dominance plasticity (Spolidoro et al., 2012), and memory formation (Meighan et al., 2006). Attempts to ascribe precise roles to individual 533 534 MMPs in these numerous phenomena remain a major challenge in the field.

Our findings do not appear to support the direct MMP-3-dependent activation of MMP-9, which was previously suggested by *in vitro* studies (Ogata et al., 1995). nmdaLTP strongly depends on MMP-9, and significant activation of this MMP by MMP-3 would affect this LTP component, which is contrary to our findings (Fig. 1). Thus, even if the signaling pathways that are induced by MMP-3 and MMP-9 might affect each other, our data do not support any direct interactions between these enzymes.

541 We found that MMP-3 is involved in shaping the late phase of LTP, which is 542 consistent with observations that long-term plasticity was accompanied by a clear increase in 543 activity in the novel in situ zymography (ISZ) assay. As described in detail in the Results, 544 casein is a substrate for several proteases. To ascribe the zymographic signal to MMP-3 545 activity, appropriate blockers were used (Fig. 8). We also included a control with MMP-3 KO 546 slices, and in a series of experiments performed zymographic analyses that were limited to 547 MMP-3-immunopositive areas. Importantly, increases in caseinolysis were observed in 548 MMP-3-positive regions (Fig. 8G) and colocalized with synaptic puncta (Fig. 8H). Thus, our 549 staining and zymography data showed that LTP induction resulted in the upregulation of 550 MMP-3 activity, which occurs also in the vicinity of the synapses. The results of these 551 morphological analyses were further supported by Western blot, which demonstrated that 552 LTP induction significantly increased the expression of pro-MMP-3 as early as 15 min post-553 HFS. This early appearance of MMP-3 protein expression appears to be compatible with a narrow time window of the involvement of MMP-3 in LTP maintenance. Such a rapid 554 555 increase in MMP-3 protein expression after LTP is unsurprising. MMP-3 mRNA is 556 dendritically localized (Suzuki et al., 2007) and undergoes activity-dependent translation in a process that depends on fragile X mental retardation 1 protein and eukaryotic initiation factor 557 558 4E (Gkogkas et al., 2014). The process of the fast synaptic translation of MMP-3 mRNA may 559 be similar to MMP-9 (Dziembowska et al., 2012). Altogether, our data indicate that LTP

560 consolidation is correlated with greater expression and activity of MMP-3, and its temporal 561 expression is largely consistent with our functional observations concerning the time window 562 of MMP-3 activity. Notably, this *modus operandi* of MMP-3 regarding the time window is 563 analogous to the one reported for MMP-9 (Meighan et al., 2007; Wojtowicz and Mozrzymas, 564 2010). Finally, plasticity-related alterations in MMP-3 expression and activity that were 565 observed in the present study are consistent with previously reported upregulation of this 566 MMP in behavioral tests (Olson et al., 2008).

The involvement of MMP-3 in regulating vdccLTP suggests the existence of 567 568 unknown specific MMP-3 substrates whose cleavage modulates dendritic L-type calcium 569 channels. Calcium influx through these channels is known to induce signaling that activates 570 the translation of plasticity-related immediate early genes (Wheeler et al., 2012) that, in turn, drive the consolidation phase of LTP (Magee and Johnston, 1997). This possibility may 571 572 explain the impairment of the LTP maintenance phase in MMP-3-deficient slices. MMP-3 573 may cleave NMDARs in vitro (Pauly et al., 2008), but we found that MMP-3 did not affect 574 nmdaLTP, thus arguing against this possibility in our model. The identity of MMP-3 575 substrates that affect L-type channels remains unknown, although some likely candidates can 576 be proposed. In vitro studies indicated that MMP-3 can cleave almost all constituents of 577 perineuronal nets (PNNs), brain-specific ECM structures that are composed of proteins, 578 hyaluronan, and proteoglycans (Van Hove et al., 2012a). Notably, hyaluronic acid and 579 tenascin C (i.e., two well-known PNN constituents) act as permissive factors for the induction 580 of vdccLTP in the hippocampus (Evers et al., 2002; Kochlamazashvili et al., 2010). 581 Considering that both hyaluronidase and MMP-3 cleave PNN components, at the first glance, it might look surprising that whereas MMP-3 activity supports vdccLTP, hyaluronidase 582 583 disrupts it. However, hyaluronidase digests polysaccharide hyaluronic acid, the backbone of 584 PNNs, whereas MMP-3 cleaves proteins at sites that are characterized by specific sequences,

585 leading to their gain or loss of function. Extracellular proteolytic cleavage that is mediated by MMP-3 may reveal protein-protein interaction sites or release signaling peptides (e.g., RGD 586 587 peptide for integrins). Notably, MMP-3 does not cause global protein disintegration; instead, 588 it acts as a fine proteolytic scalpel that modifies protein functions, thereby shaping their 589 signaling properties. In contrast, hyaluronidase treatment causes the global disruption of PNN 590 backbone and blocks the possibility of vdccLTP induction. Interestingly, specific sequences 591 of heparan sulfates that are present on syndecans, glypicans, perlecan, and agrin bind to and 592 modulate neuronal L-type channels (Garau et al., 2015). Thus, the interaction between 593 heparin sulfates and L-type channels may act as a permissive factor for vdccLTP. 594 Additionally, almost all protein-bearing heparan sulfates are well-known MMP-3 substrates 595 (Stegemann et al., 2013). Thus, vdccLTP induction may require the MMP-3-mediated 596 cleavage of heparan sulfate-bearing proteoglycans. The MMP-3-dependent cleavage of agrin 597 was previously shown to be essential for motor endplate remodeling and ischemia-induced 598 plasticity (Sole et al., 2004; Chao et al., 2012).

599 Further investigations are needed to verify the involvement of heparan sulfate 600 proteoglycans and tenascin C in the MMP-3-sensitive LTP component. Semiquantitative 601 proteomic studies have revealed the lack of bulk changes in PPN composition in the MMP-3 602 KO brain (van Hove et al., 2015). New high-resolution tools may be needed to visualize the 603 fine remodeling and processing of the ECM by MMP-3 in the vicinity of synapses after LTP 604 or learning (Tsien, 2013).

Pre- and postsynaptic forms of LTP are dissociable phenomena that are activated by different patterns of neuronal activity and at least partially mediated by distinct signaling pathways. Especially vdccLTP is known to be associated with presynaptic forms of CA1 LTP (Bayazitov et al., 2007). Presynaptic changes that occur in response to 200 Hz stimulation develop slowly, similar to our observations regarding vdccLTP in the present study (Fig. 1), and resemble the late phase of LTP (Bayazitov et al., 2007). Thus, the observed decrease in 100 Hz stimulation-induced late-LTP in MMP-3-deficient slices may have resulted from the selective inhibition of vdccLTP. Additionally, synaptic stimulation that successfully activates L-type channels was found to recruit a presynaptic component of LTP expression that involves the retrograde signaling of nitric oxide (Johnstone and Raymond, 2011; Padamsey and Emptage, 2014). These findings suggest that presynaptic enhancement of vdccLTP might result from direct pro-MMP-3 activation by nitric oxide (Gu et al., 2002).

The role of MMP-3 in neuroplasticity phenomena have only started to emerge. 617 618 Besides above mentioned reports that MMP-3 expression is affected by behavioral training 619 (Olson et al., 2008), a more recent study found that MMP-3 KO mice exhibited substantial 620 impairments of cross-modal plasticity in the visual cortex after monocular enucleation (Aerts et al., 2015). Considering our findings, it is interesting to note that ocular dominance 621 622 plasticity is highly dependent on L-type calcium channels (Frank, 2014) and the integrity of 623 hyaluronic acid-containing perineuronal nets (Pizzorusso et al., 2002; Happel et al., 2014). 624 Therefore, synaptic mechanisms that are activated in response to MMP-3 activity might 625 affect the local opening of the plasticity window.

A novel finding of the present study was that MMP-3 was abundantly present in astrocytes in the hippocampus. The physiological role of astrocytic MMP-3 remains unknown, but a tempting hypothesis is that it may couple neuronal activity and glia-driven ECM remodeling (Dzyubenko et al., 2016). However, the identity of signals that induce the secretion of astrocytic MMP-3 upon increases in neuronal activity awaits investigations.

631 Our findings indicate that proteolysis that is mediated by MMP-3 may regulate 632 plasticity in synaptic networks. In particular, our study underscores the role of MMP-3 in 633 LTP in the hippocampus and provides strong evidence that the mechanism by which MMP-3 634 shapes plasticity involves L-type calcium channels. An important, but unresolved issue is the

- 635 way in which the activities of different extracellular proteases in excitatory and inhibitory
- 636 synapses converge on local networks and single neurons to shape signaling and affect
- 637 functional and structural plasticity.

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824 Figure Legends

825

Figure 1. nmdaLTP and vdccLTP show distinct profiles of sensitivity to MMP blockade. 826 827 A, Long-term potentiation in the CA3-CA1 pathway was induced by 200 Hz HFS (white). 828 Two components that depend on the activation of NMDARs (nmdaLTP in the presence of 829 100 µM nifedipine, red) or L-type calcium channels (vdccLTP in the presence of 50 µM APV, gray) were pharmacologically identified. (Insets) Representative average fEPSP traces 830 831 recorded before (gray) and 115-120 min after (black) LTP. Scaling: vertical, 0.5 mV; 832 horizontal, 5 ms. Stimulation artifacts were removed. The mean slope of fEPSPs that were 833 recorded for 15 min before HFS was set at 100%. B-D, The MMP-2/MMP-9 inhibitor SB-834 3CT (10 μ M) reduced the extent of both nmdaLTP (B) (CTR DMSO: 180% ± 18% of baseline 2 h after tetanus; SB-3CT: $132\% \pm 10\%$; t-test, $t_9 = 2.50$, p = 0.034) and vdccLTP 835 (C) (CTR DMSO: 169% \pm 15%; SB-3CT: 130% \pm 10%; t-test, $t_{11} = 2.11$, p = 0.047) induced 836 837 by 200 Hz tetanus. D, 200 Hz tetanus (delivered in the presence of 100 μ M nifedipine) 838 induced nmdaLTP that was similar in control conditions and in the presence of MMP inhibitor NNGH (10 μ M, CTR DMSO: 140% ± 6%; NNGH: 141% ± 8%; *t*-test, t_{11} = -0.09, *p* 839 = 0.93). E, Slowly developing L-type dependent vdccLTP was induced by 200 Hz tetanus in 840 841 the presence of 50 µM APV, which was impaired by the presence of NNGH (CTR DMSO: 842 145% \pm 10%; NNGH: 107% \pm 12%; Mann-Whitney U test, $U_{11} = 5.0$, p = 0.022). F, 843 Summary of the effects of pharmacological MMP inhibition with SB-3CT and NNGH on 844 nmdaLTP and vdccLTP. Note that the induction of vdccLTP (but not nmdaLTP) required 845 MMP-3 activity. G, Extended theta-burst stimulation induced slowly developing vdccLTP when NMDARs were blocked by 50 μ M APV. (2 h after induction: 177% \pm 12%, n = 9). The 846 847 inhibition of MMP-3 activity by NNGH (10 µM) abolished theta burst stimulation-induced 848 vdccLTP (NNGH: 112% \pm 8%, n = 7; *t*-test, $t_{14} = 4.10$, p = 0.001. *H*, Statistics for vdccLTP

that was measured 115-120 min after stimulation. Note that MMP-3 inhibition impaired TBSinduced vdccLTP similarly to vdccLTP that was induced by 200 Hz tetanus. The data are

851 expressed as mean \pm SEM. * $p \le 0.05$, ** $p \le 0.01$, *** $p \le 0.001$, n.s. not significant.

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Figure 2. MMP-3 and MMP-9 are required for the late phase of LTP in the 853 854 hippocampal CA3-CA1 projection. A-I, Time course of LTP recorded in the CA1 and induced by 100 Hz tetanic stimulation delivered at time = 0 min under control conditions 855 856 (open circles) and in the presence of different MMP inhibitors (filled circles). (Insets) 857 Representative average fEPSP traces recorded before (gray) and 115-120 min after (black) 858 LTP induction. Scaling: vertical, 0.5 mV; horizontal, 5 ms. A, MMP-9 KO slices had a strong 859 deficit in late-LTP that was measured 2 h after induction (CTR: $157\% \pm 11\%$; MMP-9 KO: 121% \pm 7%; Mann-Whitney U test, $U_{18} = 17$, p = 0.014) and normal early-LTP (CTR: 159% 860 \pm 9% 15-20 min after induction relative to baseline; MMP-9 KO: 168% \pm 10%; Mann-861 862 Whitney U test, $U_{18} = 48$, p = 0.28) compared with LTP that was recorded in wildtype (WT) 863 controls. B, Only the late phase of LTP was significantly impaired by the specific MMP-864 2/MMP-9 inhibitor SB-3CT (10 μ M, CTR DMSO: 186% ± 15%; SB-3CT: 137% ± 15%; ttest, $t_{12} = 2.29$, p = 0.041). Note that early-LTP was unaffected by SB-3CT (CTR DMSO: 865 866 $188\% \pm 15\%$; SB-3CT: $180\% \pm 11\%$; t-test, $t_{12} = 0.51$, p = 0.62). C, Administration of a high 867 concentration of the broad-spectrum MMP inhibitor FN439 (180 µM) blocked both early-LTP that was measured 20 min after induction (CTR: $171\% \pm 12\%$; FN439: $129\% \pm 8\%$; t-868 869 test, $t_{17} = 2.5$, p = 0.02) and late-LTP that was measured 2 h after HFS (CTR: 170% ± 12%; FN439: 115% \pm 8%; Mann-Whitney U test, $U_{17} = 2.0$, p < 0.001). **D**, A lower concentration 870 of FN439 (25 μ M) blocked only late-LTP (CTR: 171% ± 12%; FN439: 124% ± 9%; Mann-871 872 Whitney U test, $U_{17} = 9.0$, p = 0.006). *E*, The broad-spectrum MMP inhibitor NNGH at a 873 concentration that blocks MMP-3 (10 μ M) impaired late-LTP (CTR DMSO: 153% ± 6%;

874	NNGH: 112% ± 11%; <i>t</i> -test t_{10} = 3.44, p = 0.006) but not early-LTP (CTR DMSO: 162% ±
875	11%; NNGH: 147% \pm 11%; <i>t</i> -test, $t_{10} = 1.0$, $p = 0.34$). <i>F</i> , The specific MMP-3/MMP-13
876	inhibitor UK356618 at a concentration of 750 nM reduced the magnitude of late-LTP (CTR:
877	$160\% \pm 10\%$; UK356618: 120% ± 6%; Mann-Whitney U test, $U_{21} = 29$, $p = 0.025$) but not
878	early-LTP (CTR DMSO: $151\% \pm 8\%$; UK356618: $164\% \pm 8\%$; <i>t</i> -test, $t_{21} = -0.95$, $p = 0.36$).
879	G, A higher concentration of UK356618 (2 μ M) yielded similar results as in F. H, The
880	specific MMP-13 inhibitor WAY170523 did not affect LTP (late-LTP, CTR DMSO: $182\% \pm$
881	13%, $n = 8$; WAY170523: 174% \pm 30%, $n = 5$; <i>t</i> -test, $t_{11} = 0.27$, $p = 0.79$). <i>I</i> , Hippocampal
882	slices that were exposed to a mix of NNGH (10 $\mu M)$ and SB-3CT (10 $\mu M)$ showed
883	impairment of both early-LTP (CTR DMSO: $181\% \pm 10\%$; NNGH+SB-3CT: $129\% \pm 7\%$; t-
884	test, $t_{10} = 3.78$, $p = 0.003$) and late-LTP beginning during the first minutes after HFS (CTR
885	DMSO: 198% \pm 22%; NNGH+SB-3CT: 128% \pm 14%; <i>t</i> -test, t_{11} = 2.73, p = 0.021). <i>J</i> , <i>K</i> ,
886	Summary plots that depict the effects of different MMP inhibitors and MMP-9 deficiency on
887	late (J) and early (K) LTP phases. Note that the blockade of MMP-9 or MMP-3 activity
888	similarly impaired late-LTP, suggesting that the activity of both proteases is needed for the
889	maintenance of LTP. * $p < 0.05$, ** $p < 0.01$, *** $p \le 0.001$, n.s. not significant.
890	

891 Figure 3. MMP-3 knockout mice had impairments of late-LTP in the CA3-CA1 892 pathway but normal basal excitatory synaptic transmission. A, B, Input-output 893 relationships measured for fiber volley amplitude (A) (WT, white circles, n = 27 slices; 894 MMP-3 KO, black circles, n = 41 slices) and fEPSPs slopes (**B**), which were not significantly 895 different between the WT and MMP-3 KO groups (p > 0.2 for all data points, t-test). C, 896 Short-term plasticity tested as fEPSP paired-pulse facilitation at various interstimulus 897 intervals, showing no difference between WT and MMP-3 KO mice (WT, n = 20 slices; 898 MMP-3 KO, n = 32 slices; for each interstimulus interval; p > 0.3, t-test). D, MMP-3 KO

899	mice had strong deficits in late-LTP (CTR: 181% \pm 10%; MMP-3 KO: 134% \pm 12%; <i>t</i> -test,
900	$t_{30} = 2.94, p = 0.006$) but not early-LTP (CTR: 170% ± 8%; MMP-3 KO: 157% ± 8%; <i>t</i> -test,
901	$t_{30} = 1.16$, $p = 0.26$) 20 min after induction. (<i>Insets</i>) Representative fEPSP traces from WT
902	and MMP-3 KO slices before (gray) and 115-120 min after (black) LTP induction.
903	Stimulation artifacts were removed. Scaling: vertical, 0.5 mV; horizontal, 5 ms. E, SB-3CT
904	application to MMP-3 KO slices further impaired late-LTP. MMP-3 KO and WT data were
905	similar to those depicted in Fig. 2D. Comparisons of LTP that was induced in MMP-3 KO
906	slices in the presence of SB-3CT with LTP that was induced in WT slices revealed
907	impairments of early-LTP (MMP-3 KO+SB-3CT: 138% \pm 6%; <i>t</i> -test vs. CTR, $t_{20} = 2.67$, $p =$
908	0.014) and late-LTP (MMP-3 KO+SB-3CT: 119% \pm 5%; Mann-Whitney U test vs. CTR, U_{20}
909	= 6.0, p = 0.001). <i>F</i> , <i>G</i> , Summary plots that depict the effects of MMP inhibitors and MMP-3
910	deficiency on late-LTP (F) and early-LTP (G) . Note that simultaneous inhibition or knockout
911	of both MMP-3 and MMP-9 impaired early-LTP, whereas MMP-3 KO affected only late-
912	LTP. H, Incubation of MMP-3-deficient slices with exogenous active MMP-3 protein during
913	100 Hz stimulation (from -5 to 15 min, gray area) rescued the impairment of late-LTP (CTR
914	sham-treated: 170% \pm 5%; MMP-3 KO sham-treated: 132% \pm 6%; MMP-3 KO treated with
915	active MMP-3: 189% \pm 13%; <i>t</i> -test, CTR <i>vs</i> . KO sham, $t_{17} = 5.1$, $p < 0.001$; <i>t</i> -test, KO sham
916	<i>vs</i> . KO treated, $t_{18} = 5.1$, $p = 0.002$; Mann-Whitney U test, CTR <i>vs</i> . KO treated, $U_{19} = 33$, $p =$
917	0.13). I , Summary plot that depicts the effects of infusing the exogenous active MMP-3
918	protein on late-LTP recorded in MMP-3 KO slices. Note that the short exposure to MMP-3
919	activity restored the magnitude of late-LTP in MMP-3-deficient slices. J , Comparison of
920	short-term plasticity before and 2 h after LTP induction. In WT slices, the induction of LTP
921	significantly decreased the paired-pulse facilitation ($n = 21$ slices, paired <i>t</i> -test, $p < 0.001$).
922	The impairment of late-LTP in MMP-3 KO slices was accompanied by a lack of change in
923	the paired-pulse facilitation after LTP ($n = 20$ slices, paired <i>t</i> -test, $p = 0.68$). Brief infusion of

active MMP-3 protein during LTP induction in MMP-3-deficient slices restored the changes in short-term plasticity accompanying LTP that were observed in WT slices (n = 9 slices, paired *t*-test, p = 0.006). *p < 0.05, **p < 0.01, *** $p \le 0.001$, n.s. not significant.

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Figure 4. Long-term potentiation that is dependent on L-type calcium channels is 928 929 impaired in MMP-3-deficient slices. A, In WT slices, 200 Hz tetanus that was delivered in 930 the presence of 100 μ M nifedipine induced nmdaLTP, which was similar to nmdaLTP in 931 MMP-3 KO slices (CTR: 149% \pm 13%; MMP-3 KO: 154% \pm 11%; *t*-test, t_{21} = -0.26, p = 932 0.80). (Insets) Representative fEPSP traces recorded before (gray) and 115-120 min after 933 (black) LTP induction. Scaling: vertical, 0.5 mV; horizontal, 5 ms. B, Slowly developing L-934 type-dependent vdccLTP was induced by 200 Hz tetanus in the presence of 50 µM APV. 935 vdccLTP was not present in MMP-3 KO mice (CTR: $164\% \pm 15\%$; MMP-3 KO: $100\% \pm$ 5%; Mann-Whitney U test, $U_{10} = 0.0$, p = 0.002). C, Incubation of MMP-3-deficient slices 936 937 with exogenous active MMP-3 protein during 200 Hz stimulation (from -5 to 15 min, gray 938 area) rescued impaired vdccLTP (MMP-3 KO: $102\% \pm 4\%$; MMP-3 KO+MMP-3: $135\% \pm 102\%$ 939 18%; Mann-Whitney U test, KO vs. KO treated, $U_{12} = 7$, p = 0.03). D, Summary of the 940 effects of MMP-3 deficiency on nmdaLTP and vdccLTP. Note that the induction of vdccLTP 941 but not nmdaLTP required MMP-3 activity. p < 0.05, p < 0.01, n.s. not significant.

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949	Figure 5. MMP-3 is not required for the induction of LTP in hippocampal mossy fiber-
950	CA3 synapses. A-D, Time course of LTP recorded in the mossy fiber-CA3 projection in the
951	presence of different MMP inhibitors or in MMP-3 KO slices. (Insets) Representative fEPSP
952	traces recorded before (gray) and 115-120 min after (black) LTP induction. Scaling: vertical,
953	0.5 mV; horizontal, 5 ms. A, The broad-spectrum MMP inhibitor NNGH (10 μ M) at a
954	concentration that blocked MMP-3 had no effect on LTP in the mossy fiber-CA3 pathway (2
955	h after LTP, CTR DMSO: $172\% \pm 11\%$ of baseline 2 h after induction; NNGH: $186\% \pm 27\%$;
956	<i>t</i> -test, $t_7 = -4.86$, $p = 0.81$). B , The specific MMP-3/MMP-13 inhibitor UK356618 (2 μ M) did
957	not affect the induction of LTP in the mossy fiber-CA3 pathway (CTR DMSO: $193\% \pm 14\%$;
958	UK356618: 205% \pm 23%; <i>t</i> -test, t_{13} = -1.31, p = 0.21). <i>C</i> , MMP-3 KO slices showed normal
959	LTP in the mossy fiber-CA3 pathway compared with WT controls (CTR: 155% \pm 15%;
960	MMP-3 KO: 163% \pm 15%; <i>t</i> -test $t_9 = -1.04$, $p = 0.32$). D , Exposure to the specific MMP-
961	2/MMP-9 inhibitor SB-3CT (10 μM) impaired LTP in the mossy fiber-CA3 projection
962	beginning during the first minutes after induction (CTR DMSO: 173% \pm 17%; SB-3CT:
963	116% \pm 6%; <i>t</i> -test, t_{12} = 3.34, p = 0.009). <i>E</i> , Summary of the effects of different MMP
964	inhibitors and MMP-3 knockout on LTP in the mossy fiber-CA3projection. Note that the
965	activity of only MMP-9 and not MMP-3 was involved in LTP in the mossy fiber-CA3
966	pathway. ** $p < 0.01$, n.s. not significant.
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973	Figure 6. MMP-3 affects LTP within a narrow time window relative to HFS. A-C, Long-
974	term potentiation recorded in the CA1 and induced by 100 Hz tetanus after administration of
975	the MMP-3 inhibitor UK356618 (0.75 μ M) at different time points: A, immediately after
976	HFS (CTR DMSO: 205% \pm 10% of baseline 2 h after induction; UK356618: 152% \pm 7%, t -
977	test, $t_{11} = 3.70$, $p = 0.004$); B , 15 min after HFS (UK356618: 160% ± 17%; <i>t</i> -test <i>vs</i> . CTR, t_{11}
978	= 2.27, $p = 0.044$); <i>C</i> , 30 min after HFS (UK356618: 206% ± 22%, <i>t</i> -test <i>vs</i> . CTR, $t_{10} = 0.08$,
979	p = 0.94). (Insets) Representative average fEPSP traces recorded before (gray) and 115-120
980	min after (black) LTP. Scaling: vertical, 0.5 mV; horizontal, 5 ms. D, Summary of the effects
981	of UK356618 administration during different time windows on LTP in the CA3-CA1
982	measured 2 h after induction. Note that the maintenance of LTP required MMP-3 activity
983	within less than 30 min after HFS. * $p < 0.05$, ** $p < 0.01$, n.s. not significant.
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Figure 7. Colocalization of MMP-3 protein within neurons and astrocytes in the CA1 stratum radiatum. A, Confocal images of the CA1 stratum radiatum in adult mouse slices stained for MMP-3 (magenta) and the pan-neuronal marker MAP-2 (green) revealed that some MMP-3-positive puncta clearly colocalized with MAP-2 (white). Scale bar = 10 μ m. The right side shows high-magnification proximal apical dendrites (scale bar = $2 \mu m$). All of the images were thresholded. B, Partial colocalization (white) of MMP-3-positive puncta (magenta) in the stratum radiatum with the astrocytic marker GFAP (green). The right side shows a high-magnification astrocyte (scale bar = $2 \mu m$).

998	Figure 8. In situ caseinolytic activity in the hippocampal CA1 field. Proteolytic activity
999	was visualized with BODIPY fluorescence (green), and DAPI (blue). In all of the
1000	experiments, PMSF was used to block the activity of serine proteases. DAPI was also used to
1001	visualize the strata. A, Representative confocal image of casein in situ zymography (ISZ) in
1002	the hippocampal CA1 stratum pyramidale (SP) and stratum radiatum (SR). <i>B-D</i> , The pan
1003	MMP inhibitor phenanthroline (B) (10 mM) and broad-spectrum MMP inhibitor NNGH (C)
1004	(20 μ M) or genetic knockout of MMP-3 (D) decreased ISZ activity relative to sham-treated
1005	slices. E , Mean ISZ fluorescence signal in the SR in the presence of phenanthroline with
1006	PMSF ($n = 3$) and NNGH with PMSF ($n = 3$) relative to the control reaction with PMSF only
1007	(n = 6). All of the sections were from the LTP group. <i>F</i> , Mean ISZ fluorescence signal in the
1008	SR in sections from MMP-3 KO mice ($n = 8$ slices) relative to WT slices ($n = 8$). Note that a
1009	majority of the high-intensity fluorescent puncta that were observed in control slices were
1010	absent in the MMP-3 KO group. Differences (** $p < 0.01$) were tested vs. control PMSF
1011	group; unpaired <i>t</i> -test). Scale bars = $30 \mu m$. <i>G</i> , Caseinolytic activity (green) colocalized with
1012	MMP-3-positive puncta (red). The vast majority of the ISZ puncta were positive for MMP-3.
1013	Note the predominant yellow color in the superimposed image. Scale bar = 40 μ m. <i>H</i> , <i>In situ</i>
1014	caseinolytic activity (green) and synapsin-positive puncta (magenta) in the stratum radiatum.
1015	The white color indicates puncta of ISZ proteolytic activity that colocalized with synapsin-
1016	stained presynaptic compartments. Scale bar = $10 \ \mu m$.
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1022	Figure 9. Induction of LTP in the CA3-CA1 increases MMP-3 protein and in situ
1023	activity. A-D, The induction of LTP resulted in an increase in the fluorescence intensity and
1024	area of MMP-3-positive puncta in the stratum radiatum 2 h after HFS (right) compared with
1025	basally stimulated controls (left). The average normalized integrated density of fluorescence
1026	in MMP-3-positive puncta (B) (CTR: 100% \pm 3%; 2 h after LTP: 111% \pm 6%; <i>t</i> -test, t_{19} =
1027	2.24, $p = 0.034$) and average area of single MMP-3-positive puncta (C) (CTR: 1.12 ± 0.10
1028	μ m ² ; 2 h after LTP: 1.44 ± 0.083 μ m ² ; <i>t</i> -test, t_{19} = 2.47, p = 0.023) increased 2 h after LTP
1029	induction, but the density of MMP-3-positive puncta was unaltered (D) (CTR: 0.025 ± 0.003
1030	μ m ⁻² ; 2 h after LTP: 0.026 ± 0.002 μ m ⁻² , <i>t</i> -test, t_{19} = 0.20, p = 0.84). Scale bars = 10 μ m. <i>E</i> -
1031	H, In situ caseinolytic activity (left in E) and MMP-3 immunostaining (middle) showed
1032	substantial colocalization (right), and the extent of colocalization increased 2 h after LTP in
1033	the stratum radiatum. The average normalized ISZ fluorescence in the CA1 stratum radiatum
1034	(F) (CTR: 100% ± 2%; 2 h after LTP: 115% ± 4%; Mann-Whitney U test, $U_{19} = 9.0, p =$
1035	0.001), average ISZ activity only in MMP-3-positive puncta (G) (CTR: 835 \pm 80 AU; 2 h
1036	after LTP: 1171 \pm 93 AU; <i>t</i> -test, t_{19} = 2.75, p = 0.016), and ratio of mean ISZ activity in
1037	MMP-3-positive puncta to mean ISZ activity in the MMP-3-negative area (H) (CTR: 1.28 ±
1038	0.02; 2 h after LTP: 1.38 ± 0.03 ; <i>t</i> -test, $t_{19} = 3.18$, $p = 0.005$) were all higher 2 h after LTP. <i>I</i> -
1039	K, The induction of LTP increased pro-MMP3 expression and its cleavage to the active form
1040	of MMP-3. <i>I</i> , Typical blots of homogenates that were prepared from control slices and slices
1041	15 or 60 min after HFS. J, K, Summary graphs of the semiquantitative analysis of pro-MMP-
1042	3 and active MMP-3 protein, respectively, at different time points after HFS (unpaired <i>t</i> -test).
1043	* $p < 0.05$, ** $p < 0.01$, *** $p \le 0.001$, n.s. not significant.
1044	

1047	Figure 10. Digestion of hyaluronic acid occludes the impact of MMP-3 inhibition on
1048	LTP in the CA1. A, Digestion of hyaluronic acid with hyaluronidase decreased the
1049	magnitude of LTP that was induced by 100 Hz tetanus (sham: 197% \pm 11%; hyaluronidase:
1050	163% \pm 10%; <i>t</i> -test, t_{12} = 2.10, p = 0.041). <i>B</i> , <i>C</i> , Digestion of hyaluronic acid in hippocampal
1051	slices using hyaluronidase did not affect the input-output relationship or short-term plasticity
1052	in the CA3-CA1 projection. B, Average initial fEPSP amplitudes recorded at CA3-CA1
1053	synapses in slices that were treated for 2 h with hyaluronidase (filled circles, $n = 12$) and
1054	respective controls (open circles, $n = 14$), plotted against the fiber volley amplitude. The
1055	statistical analysis was performed using two-way ANOVA followed by Bonferroni
1056	correction, revealing no differences between genotypes (comparison of fiber volley
1057	amplitude: $F_{1,312} = 0.11$, $p = 0.74$; comparison for fEPSP slope: $F_{1,312} = 0.089$, $p = 0.77$). C,
1058	Treatment with hyaluronidase did not affect short-term plasticity, assessed by paired-pulse
1059	facilitation, at various interstimulus intervals ($n = 6$ sham-treated slices, $n = 13$
1060	hyaluronidase-treated slices; t-test between genotypes, $p > 0.48$ for all interstimulus
1061	intervals). D , The hyaluronidase-resistant component of LTP was unaffected by the MMP-3
1062	blocker UK356618 (0.8 μ M; hyaluronidase+UK356618: 155% \pm 10%; <i>t</i> -test vs.
1063	hyaluronidase, $t_9 = 0.58$, $p = 0.57$). <i>E</i> , The statistical analysis of LTP that was induced by 100
1064	Hz HFS showed that UK356618 occluded the effects of hyaluronidase treatment and MMP-3
1065	inhibition. * $p < 0.05$, n.s. not significant.





<u>JNeurosci Accepted Manuscript</u>



























